

IN THE CAPTED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Haruyoshi ONO et al. Group Art Unit: 2828

Serial Number: 10/614,277 Examiner: Tod Thomas Van Roy

Filed: July 8, 2003 Confirmation Number: 7735

For: OPTICAL COMMUNICATION MODULE AND WAVELENGTH

LOCKER MODULE

Attorney Docket Number: 030824

Customer Number: 38834

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(c)(1)/(e)(1)

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450 March 8, 2006

Sir:

Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached Form PTO/SB/08. A copy of each non - U.S. document is attached.

This Information Disclosure Statement (IDS) is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry of the national stage for the above-captioned application, but prior to issuance of either a final official action or a Notice of Allowance.

The undersigned hereby certifies that each item of information contained in the IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the IDS. A copy of the foreign communication citing the documents is attached.

Information Disclosure Statement under 1.97(c)(1) Attorney Docket No. 030824 Serial No. 10/614,277

Each listed document was cited in a communication from a foreign patent office and the communication was not received by any person defined in 37 C.F.R. §1.56(c) more than 30 days before filing of this paper.

If there are any fees due in connection with the filing of this paper, please charge Deposit Account No. 50-2866.

Respectfully submitted,

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Enclosures: P'

PTO/SB/08

Foreign Communication

Document(s)

Combined Form PTO/SB/08A&B

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	-

Compl	ete if Known
Application Number	10/614,277
Confirmation Number	7735
Filing Date	July 8, 2003
First Named Inventor	Haruyoshi ONO et al.
Art Unit	2828
Examiner Name	Tod Thomas Van Roy
Attorney Docket Number	030824

U.S. PATENT DOCUMENTS					
Examiner	Cite	Document 1	Number	Publication Date	
Initials*	No.1	Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US			
		US			
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	FOREIGN PATENT DOCUMENTS						
Examiner Cite	Foreign Patent Document			Publication Date	Name of Patentee or		
Initials*	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
	1	JР	2000-323785	A	11-24-2000	Sato	Yes
	2	JP	2001-36190	Α	02-09-2001	Ackerman	Yes
	3	JP	9-298511		11-18-1997	Takatsu	Yes

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
	4	JP 2002-200669 Office Action mailed 02-21-2006	No
	<u>. </u>		_
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Examiner Signature	Date Considered	

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.